

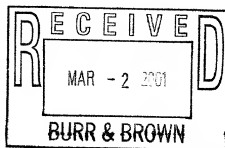


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,241	12/29/2000	Tatco Uegaki	892_013

025191
BURR & BROWN
PO BOX 7068
SYRACUSE, NY 13261-7068



CONFIRMATION NO. 8615

FORMALITIES LETTER



0C000000005784601

Date Mailed: 02/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

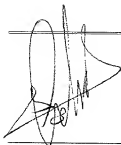
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 970.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A handwritten signature in dark ink, appearing to be "J. B. Smith", is written over a horizontal line.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/23/2010 10:22:20

Practitioner's Docket No.: 892_013



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tateo UEGAKI

Serial No.: 09/753,241

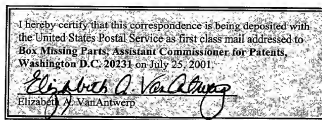
Art Unit: Not Assigned

Filed: December 29, 2000

Examiner: Not Assigned

For: PROCESS MANAGEMENT SYSTEM AND MEDIUM RECORDING
THEREIN PROGRAMS READABLE BY A COMPUTER

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231



COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

- I. ☒ This reply to the Notice to File Missing Parts of Nonprovisional Application mailed February 21, 2001.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

- III. ☒ A Preliminary Amendment
☒ Assignment with Assignment Transmittal

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☒ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

- V. ☒ Applicant(s) claim(s) small entity status. See 37 C.F.R. § 1.27.

COMPLETION FEES

- VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee
 - ☒ Original Patent Application \$ 355.00
(37 C.F.R. 1.16(a) --\$710.00; small entity--\$355.00)
2. Fees for Claims (9 Claims; 2 Indep.)
 - ☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00)
 - ☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)
 - ☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00)
3. Surcharge fees
 - ☒ late payment of filing fee \$ 65.00
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)

and/or

- ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(k).

5. ☒ Fee for processing an application filed with a specification in a non-English language \$ 130.00
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
6. ☒ Assignment Fee \$ 40.00

Total Completion Fees \$ 590.00

EXTENSION OF TIME**VII.***(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)	Fee for other than small entity	Fee for small entity
One Month	\$ 110.00	\$ 55.00
Two Months	\$ 390.00	\$ 195.00
Three Months	\$ 890.00	\$ 445.00
<input checked="" type="checkbox"/> Four Months	\$1,390.00	\$695.00

Extension Fee

\$ 695.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- [] An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 445.00**OR**

- (b) [] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE**VIII.**

The total fee due is:	Completion fee(s)	\$ 590.00
	Extension fee (if any)	\$ <u>695.00</u>
	Total Fee Due	\$1,285.00

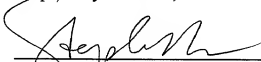
PAYMENT OF FEES

- IX. ☒ Enclosed is a check in the amount of \$1,285.00.
☐ Charge Account No. _____ in the amount of \$ _____. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR
CREDIT OVERPAYMENT**

- X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

July 25, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320



VERIFICATION OF TRANSLATION

I, Hidemi Matsukura, a citizen of Japan,

of c/o SERA, TOYAMA, MATSUKURA & KAWAGUCHI, Yokoyama Bldg., 6th Floor, 4-10, Higashi Nihonbashi 3-chome, Chuo-ku, Tokyo, 103-0004 Japan

hereby declare as follows:

1. I am a translator and am familiar with the English and Japanese languages.
2. I am the translator of the documents attached hereto and certify that the following is a true translation of the U.S. Patent Application Serial No. 09/753,241 filed on December 29, 2000 to the best of my knowledge and belief.

Dated this 24thday of July

2001 in Tokyo, Japan

Signature of translator
Hidemi MATSUKURA
Patent Attorney